

6. Tree-Adjoining Grammar (TAG)

1 Reminder: stack-based memory and “working in the middle”

We saw that an unbounded stack-based memory can allow for nesting dependencies in a string that is constructed left-to-right (i.e. categorizing only prefixes).

(1)	Transition	String	Stack Contents
Step 0	—	ε	X
Step 1	(X, flip, FX)	flip	FX
Step 2	(X, tick, TX)	flip tick	FTX
Step 3	(X, flip, FX)	flip tick flip	FTFX
Step 4	(X, flip, FX)	flip tick flip flip	FTFFX
Step 5	(X, ε , Y)	flip tick flip flip	FTFFY
Step 6	(FY, flop, Y)	flip tick flip flip flop	FTFY
Step 7	(FY, flop, Y)	flip tick flip flip flop flop	FTY
Step 8	(TY, tock, Y)	flip tick flip flip flop flop tock	FY
Step 9	(FY, flop, Y)	flip tick flip flip flop flop tock flop	Y

We can get the same effect with bounded memory if we can “work in the middle” as we construct a string (i.e. categorizing infixes).

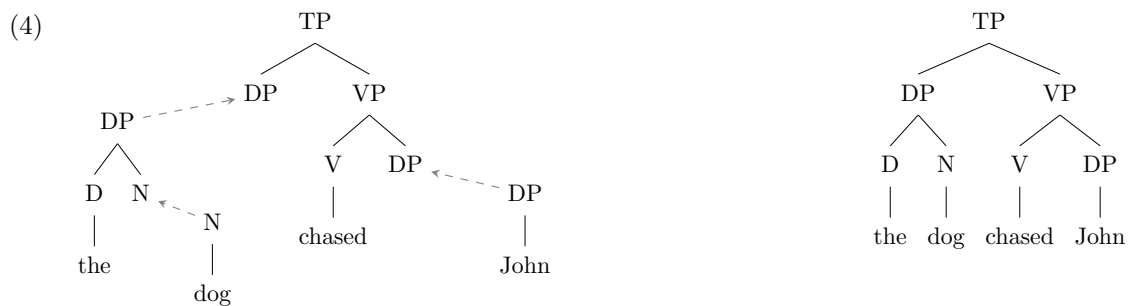
(2)	$S \rightarrow \text{flip } F$	S
	$F \rightarrow (S) \text{ flop}$	flip F
	$S \rightarrow \text{tick } T$	flip S flop
	$T \rightarrow (S) \text{ tock}$	flip tick T flop
		flip tick S tock flop
		flip tick flip F tock flop
		flip tick flip S flop tock flop
		flip tick flip flip F flop tock flop
		flip tick flip flip flop flop tock flop

This relationship between a PDA and a CFG is analogous to the relationship between an LIG and a TAG.

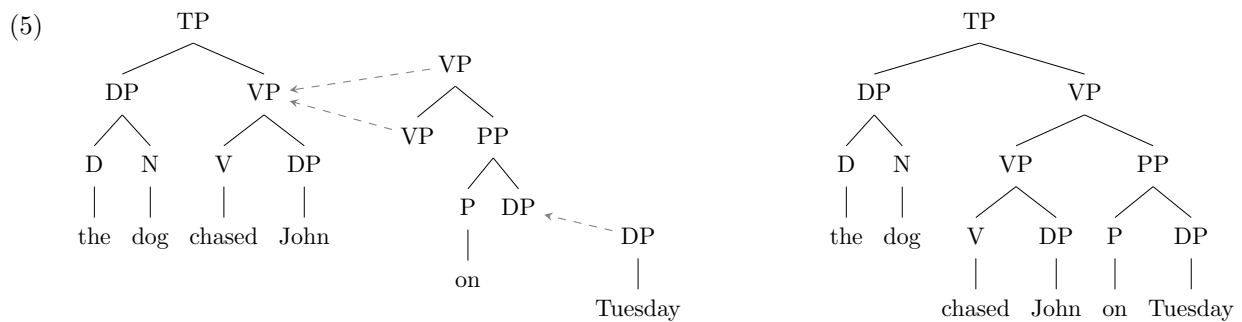
(3)	Strings	Trees
Unbounded stack-based memory, working “end to end”	PDA (1)	LIG
Bounded memory, working “in the middle”	CFG (2)	TAG

2 TAG basics

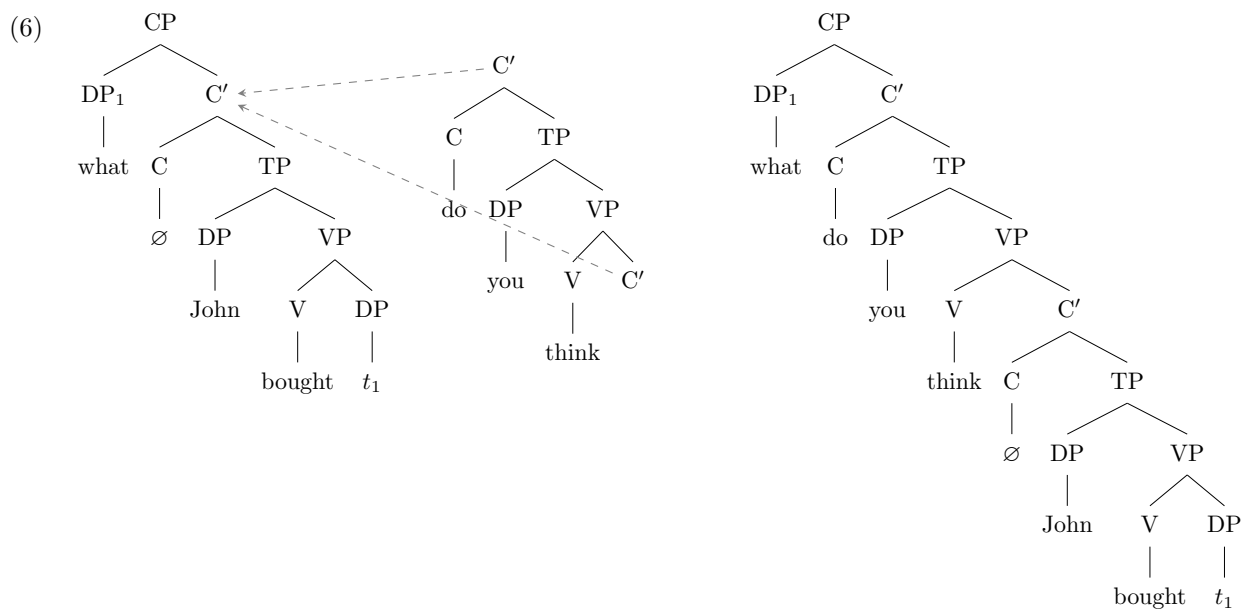
One of the two basic tree-building operations in TAG is **substitution**. This does the boring stuff.



The other, more distinctive operation is **adjunction**. As you might expect, this is used for introducing optional modifiers.



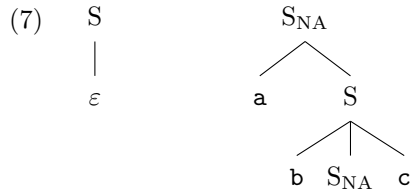
But this same adjunction operation is also used for “stretching” long-distance dependencies.



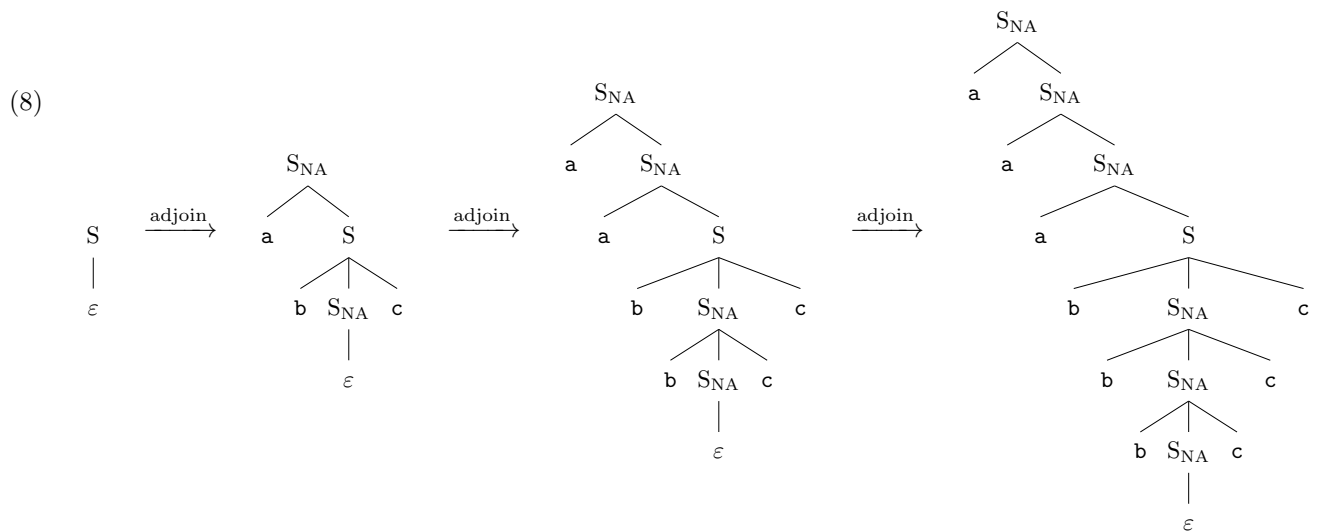
See Kroch and Joshi 1985 or Frank 2004 for broader introductions to the use of TAG as a linguistic formalism. Chapter 1 of Frank 2002 gives a fascinating account of how TAG relates to the various changes in the architectures of pre-*Aspects*, post-*Aspects* and minimalist generative theories. (Spoiler: The clausal trees that get combined via adjunction are kind of like kernel sentences!)

3 Non-context-free string languages

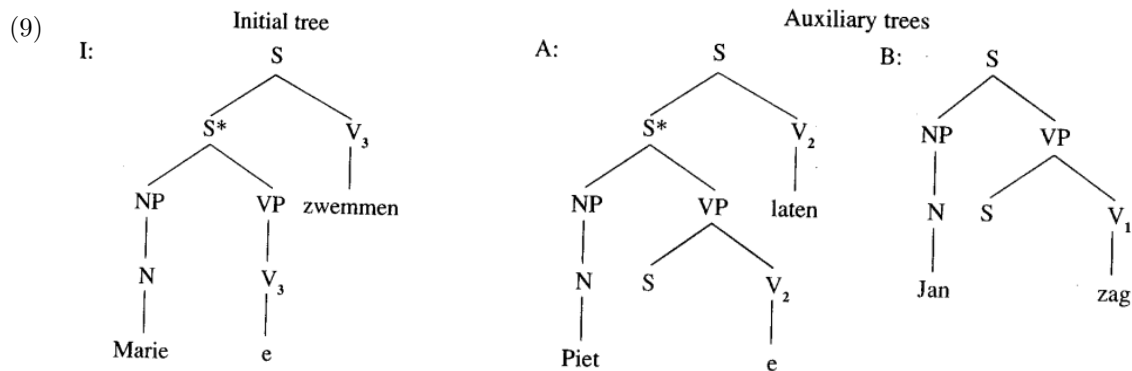
The TAG for $a^n b^n c^n$ is a lexicon of just two elementary trees. The NA subscript indicates that adjoining is not allowed at those nodes.



A derivation using this grammar proceeds by repeatedly adjoining the tree with the three terminal nodes into the middle S node.

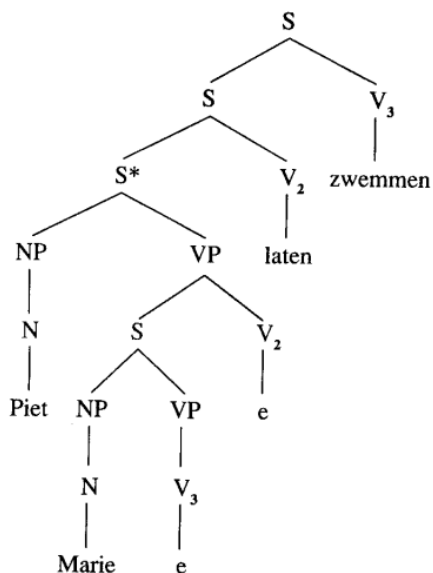


Kroch and Santorini (1991, pp.310–312) use this kind of “vertical nesting” derivation to generate the Dutch crossing-dependency construction.

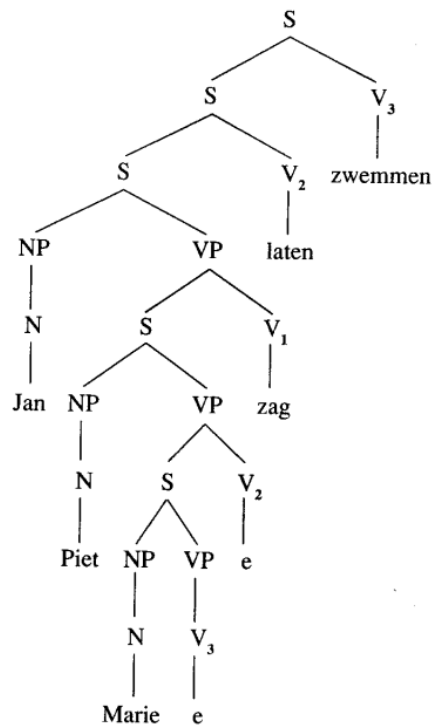


(10)

A':



B':

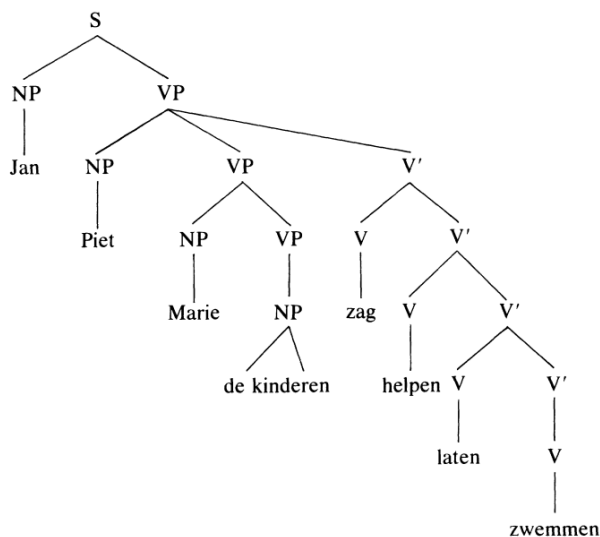


4 Non-finite-state tree languages

Are those the “right” tree structures for the Dutch crossing dependencies?

This is a somewhat thorny question. Many others have instead analyzed these sentences using a “double right-branching” structure, following Bresnan et al. (1982).

(11)



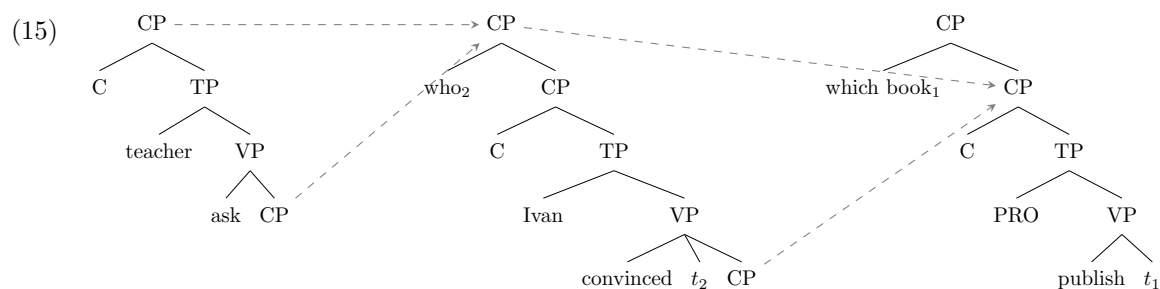
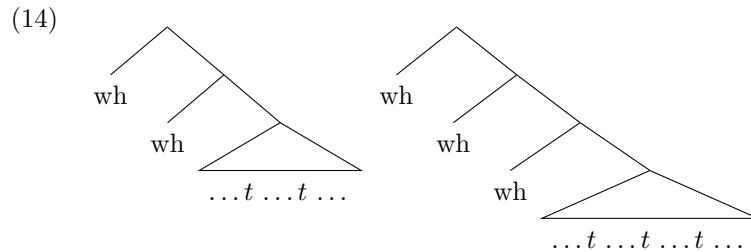
This kind of structure *cannot* be generated by a TAG (Joshi, 1985, pp.245–249) — one easy way to see why is to think about it in terms of an LIG.

Are there analogous constructions where we might be more confident that the TAG-style structure is what we want?

Perhaps examples like the following, in languages like Bulgarian where embedded questions are not islands? As long as the pattern extends unboundedly? (Frank and Hunter, 2021)

- (12) Koja kniga₁ te popita učitelja kogo₂ [ubedi Ivan t₂ da publikiva t₁]
 which book you asked teacher who convinced Ivan to publish
 “Which book did the teacher ask you who Ivan convinced to publish?”

- (13) Koj kontinent₁ te popita učitelja koj₂ [t₂ e otkril t₁]?
 which continent you asked teacher who has discovered
 “Which continent did the teacher ask you who discovered?”



References

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- Joshi, A. (1985). How much context-sensitivity is necessary for characterizing structural descriptions? In Dowty, D., Karttunen, L., and Zwicky, A., editors, *Natural Language Processing: Theoretical, Computational and Psychological Perspectives*, pages 206–250. Cambridge University Press, New York.
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- Kroch, A. S. and Joshi, A. K. (1985). The linguistic relevance of tree adjoining grammar. Technical Report MS-CIS-85-16.